

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ :		(11) International Publication Number: WO 97/17471			
C12Q 1/68	A1	(43) International Publication Date: 15 May 1997 (15.05.97)			
(21) International Application Number: PCT/USS (22) International Filing Date: 8 November 1996 (C) (30) Priority Data: 08/552,506 9 November 1995 (09.11.95) (71) Applicant: BIOMETRIC IMAGING, INC. [US/USBella Avenue, Mountain View, CA 94043 (US). (72) Inventor: LEE, Linda, G.; 3187 Stelling Drive, Palo A 94303 (US). (74) Agents: PARKHURST, David, G. et al.; Fulwider Pa & Utecht, L.L.P., 10th floor, 10877 Wilshire Boulev Angeles, CA 90024 (US).	08.11.9) U S]; 10: Alto, C	CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published With international search report.			
(54) Title: CYCLIZED FLUORESCENT DNA-INTERCALATING CYANINE DYES (57) Abstract					

New intercalating asymmetric cyanine dyes are provided in which the benzothiazole portion of the cyanine dye has been modified to produce dyes with improved properties for labelling nucleic acids, such as longer wavelengths and improved fluorescence enhancement when bound to DNA or RNA. More specifically, the dyes are cyclized fluorescent cyanine dyes for non-covalently labelling nucleic acids. Methods are described for detecting nucleic acids in a sample by contacting the nucleic acids with a fluorescent cyanine dye and monitoring the change in fluorescence emission of the dye.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Аппеліа	GB	United Kingdom	MW	Malawi
ΑŤ	Austria	GE	Georgia	MX	Mexico
ΑU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	Œ	beland	NZ	New Zealand
BG	Bulgaria	(T	kaly	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	u	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Ched
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
Fl	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Viet Nam

Title: CYCLIZED FLUORESCENT DNA-INTERCALATING CYANINE DYES

FIELD OF THE INVENTION

The present invention relates generally to dyes for labelling nucleic acids. More specifically, the present invention relates to intercalating cyanine dyes for the detection and enumeration of nucleic acids.

5 BACKGROUND OF THE INVENTION

Intercalating dyes which exhibit enhanced fluorescence upon binding to DNA or RNA are a basic tool in molecular and cell biology. In general, intercalating dyes bind noncovalently to DNA through a combination of hydrophobic interactions with the DNA base-pairs and ionic binding to the negatively charged phosphate backbone. The fluorescence of the dye is ideally increased several-fold upon binding to DNA, thereby enabling the detection of small amounts of nucleic acids. Examples of fluorescent noncovalent DNA binding dyes include ethidium bromide which is commonly used to stain DNA in agarose gets after get electrophoresis, and propidium iodide and Hoechst 33258 which are used in flow cytometry to determine the DNA ploidy of cells.

Fluorescent nucleic acid labelling dyes preferably absorb light between about 300 and 900 nm and preferably have a Stokes shift of at least about 10 nm. Dyes that absorb light in the 500 to 900 nm range are preferred because they are spectrally removed from other components that may be present in a biological sample and because they may be used with inexpensive light sources. Fluorescent dyes that have a high extinction coefficient, a high quantum yield, and significantly enhanced fluorescence when bound to a nucleic acid are also preferred.

25

10

15

20

Few new dye chromophores were described until the introduction of Thiazole Orange as a reticulocyte stain in 1986. Lee, et al., "Thiazole Orange: A New Dye for Reticulocyte Analysis", <u>Cytometry</u> 1986 7, 508-517. Thiazole

Orange is an asymmetric cyanine dye. Although many asymmetric cyanine dyes have been described in the art (e.g., Lincoln, et al., U.S. Patent No. 3,282,932), Thiazole Orange's fluorescence properties when bound to DNA and RNA and its utility for labelling nucleic acids had not been previously identified. Lee, et al., U.S. Patent No. 4,957,870. For example, unlike most asymmetric cyanine dyes, Thiazole Orange exhibits a several thousand-fold enhancement in fluorescence upon binding to DNA.

Since the discovery of Thiazole Orange as a nucleic acid dye, several improvements to Thiazole Orange and its trimethine homologs have been developed to provide dyes with tighter binding to DNA and greater water solubility. Xue, et al. U.S. Patent No. 5,321,130 and Glazer, et al. U.S. Patent No. 5,312,921. These dyes generally involve a modification to the quinolinium portion of the dye.

A continuing need exists for new and improved dyes for labelling nucleic acids. In particular, a need exists for dyes which exhibit longer wavelengths and significantly enhanced fluorescence when bound to DNA or RNA.

10

5

SUMMARY OF THE INVENTION

The present invention relates asymmetric cyanine dyes for non-covalently labelling nucleic acids in which the benzothiazole portion of the dye has been modified to provide improved physical properties to the dye, such as longer wavelengths and improved fluorescence enhancement when bound to DNA or RNA.

More specifically, the invention relates to cyclized fluorescent cyanine dyes for non-covalently labelling nucleic acids. The cyclized fluorescent cyanine dyes according to the present invention are represented by General Formula I

$$\begin{array}{c} R_{10} \\ R_{1} \\ R_{2} \\ \end{array} = \begin{array}{c} R_{6} \\ CR_{4} \\ R_{1} \\ R_{8} \\ \end{array} = \begin{array}{c} R_{6} \\ R_{7} \\ R_{8} \\ R_{9} \\ \end{array}$$

where:

n is 0, 1 or 2;

Y may be either S or O;

R₁ and R₂ are taken together to form a 5, 6, 7 or 8 membered ring;

 R_3 and R_4 may each independently be either hydrogen, C_1 - C_{10} alkyl, C_1 - C_{10} alkoxy, or C_1 - C_{10} alkylthio;

R_s may be a C_t - C_{so} alkyl, preferably substituted with one or more polar substituents which preferably includes one or more positively charged atoms, or a cyclized fluorescent cyanine dye of the

15

5

10

present invention, i.e., where R₅ is a linker between two cyclized fluorescent cyanine dyes;

5

10

15

20

25

30

 R_6 and R_7 may each independently be either H or C_{1-10} alkyl, or may be taken together to form a 5 or 6 membered ring, most preferably a 6 membered aromatic ring, optionally substituted with C_{1-6} alkyl or C_1 - C_{10} alkoxy groups;

 $R_{\rm e}$ and $R_{\rm e}$ may each independently be either H or $C_{\rm 1-10}$ alkyl, or may be taken together to form a 5 or 6 membered ring, most preferably a 6 membered aromatic ring, optionally substituted with $C_{\rm 1-e}$ alkyl or $C_{\rm 1}$ - $C_{\rm 10}$ alkoxy groups; and

 R_{10} may be either H, $C_{1\text{--}0}$ alkyl, C_1 - C_{10} alkoxy or a fused benzene.

As used above, alkyl and alkoxy refer to any substituent having a carbon backbone having the specified range of carbon atoms. The carbon backbone may form a straight chain, may be branched or may be cyclic. The alkyl and alkoxy groups may be optionally substituted by a wide variety of substituents including, for example, alcohols, amines, thiols, phosphates, halides, ethers, esters, ketones, aldehydes, carboxylic acids, amides, cycloalkyls, and aromatic rings.

The invention also relates to the composition of a cyanine dye according to the present invention non-covalently bound to a nucleic acid sequence, i.e., RNA or DNA, which enables the nucleic acid sequence to be analytically detected.

The invention also relates to a method for detecting nucleic acids in a sample by contacting the nucleic acids with a fluorescent cyanine dye according to the present invention and monitoring the change in fluorescence emission of the dye.

DETAILED DESCRIPTION OF THE INVENTION

The present invention relates asymmetric cyanine dyes for noncovalently labelling nucleic acids in which the benzothiazole portion of the dye

has been modified to provide improved physical properties to the dye, such as longer wavelengths and improved fluorescence enhancement when bound to DNA or RNA.

In one embodiment, the present invention relates to cyclized fluorescent cyanine dyes generally represented by General Formula I

$$R_{10}$$
 R_{10}
 R

where:

5

10

15

20

n is 0, 1 or 2;

Y may be either S or O;

 $\rm R_1$ and $\rm R_2$ are taken together to form a 5, 6, 7 or 8 membered ring;

 R_3 and R_4 may each independently be either hydrogen, C_1 - C_{10} alkyl, C_1 - C_{10} alkoxy, or C_1 - C_{10} alkylthio;

R₅ may be a C₁ - C₅₀ alkyl, preferably substituted with one or more polar substituents which preferably includes one or more positively charged atoms, or a cyclized fluorescent cyanine dye of the present invention, i.e., where R₅ is a linker between two cyclized fluorescent cyanine dyes;

 R_6 and R_7 may each independently be either H or C_{1-10} alkyl, or may be taken together to form a 5 or 6 membered ring, most preferably a 6 membered aromatic ring, optionally substituted with C_{1-6} alkyl or C_1 - C_{10} alkoxy groups;

 $\rm R_8$ and $\rm R_9$ may each independently be either H or $\rm C_{1-10}$ alkyl, or may be taken together to form a 5 or 6 membered ring, most preferably a 6

membered aromatic ring, optionally substituted with C_{1-6} alkyl or C_1 - C_{10} alkoxy groups; and

 R_{10} may be either H, $C_{1\text{--}0}$ alkyl, C_1 - C_{10} alkoxy or a fused benzene.

5

As used above, alkyl and alkoxy refer to any substituent having a carbon backbone having the specified range of carbon atoms. The carbon backbone may form a straight chain, may be branched or may be cyclic. The alkyl and alkoxy groups may be optionally substituted by a wide variety of substituents including, for example, alcohols, amines, thiols, phosphates, halides, ethers, esters, ketones, aldehydes, carboxylic acids, amides, cycloalkyls, and aromatic rings.

10

The cyclized cyanine dyes of the present invention provide the advantage over previous cyanine dyes of having higher absorbance and emission wavelengths. The cyclized cyanine dyes preferably absorb light at a wavelength of at least about 640 nm, more preferably at least about 649 nm and emit fluorescence at a wavelength of at least about 650 nm, more preferably at least about 663 nm. The cyclized cyanine dyes also preferably have a positive Stoke's shift ($\lambda_{\rm Emission} - \lambda_{\rm Abs.}$) of at least about 12 nm.

15

In particular, cyclized cyanine dyes having General Formula I where R_1 and R_2 are taken together to form a 5, 6, 7 or 8 membered ring have been found to absorb light and fluoresce when bound to a nucleic acid polymer at unexpectedly higher wavelengths than has been previously achieved by cyanine dyes where R_1 and R_2 do not form a ring structure.

20

Fluorescent cyanine dyes having the General Formula I where R_1 and R_2 are taken together to form a 7 membered ring have also been found to have the greatest Stoke's shift ($\lambda_{\rm Emission} - \lambda_{\rm Abs.}$)

25

TABLE 1: Absorbance and Emission Maxima of Intercalating Dyes in PBS with Excess DNA ([bp]/[dye] = 100)

	COMPOUND		<u>Abs_{max}</u>	Emsmax	FE.
5		1	649	663	100X
	S-Chark	2	654	667	100X
		3	654	672	30X
		4	675	690	200X
	On the second	5 *	641	655	100X

Abs_max-Absorbance maximum (bounds to DNA)

Ems_max-Emission maximum (bound to DNA)

F.E.-fluorescence enhancement (bounds vs. not bound to DNA or RNA)

* Compound 5 is taught in U.S. Patent No. 5,321,130 to Yue, et al.

5

10

15

20

Table 1 summarizes the absorbance maximum and fluorescence emission maximum wavelengths (both when bound to DNA) of some exemplary cyclized cyanine does of the present invention.

As illustrated in Table 1, it was found that the addition of a cyclic aliphatic side chain to the basic cyanine dye structure, i.e., formation of a 5-8 membered ring by combining R_1 and R_2 , was found to increase the absorbance and fluorescence emission wavelengths of the corresponding acyclic cyanine dye by about 12 nm. For example, as shown with regard to dyes 2 and 5, dye 2 has an Abs_{max} at 654 nm as compared to 641 nm and an Ems_{max} at 667 nm as compared to 655 nm. In addition, dye 4 is the longest wavelength trimethine intercalating dye yet reported.

With regard to n, n may equal 1. Accordingly, the present invention includes cyclized cyanine dyes having the General Formula II (i.e. where n = 1)

$$R_{10}$$
 R_{1}
 R_{1}
 R_{2}
 R_{3}
 R_{4}
 R_{8}
 R_{9}

where Y, R₁, R₂, R₃, R₄, R₅, R₆, R₇, R₈, R₉ and R₁₀ are as specified above.

Y may be either S or O. and is most preferably S.

 R_3 and R_4 may each independently be either hydrogen, C_1 - C_{10} alkyl, C_1 - C_{10} alkoxy, or C_1 - C_{10} alkylthio, and are preferably H.

 $R_{\rm 5}$ may be a $C_{\rm 1}$ - $C_{\rm 50}$ alkyl. Since DNA and RNA to which the cyclized cyanine dyes bind contain negatively charged phosphate backbones, it is preferred that $R_{\rm 5}$ be substituted with one or more polar substituents. It is most preferred that $R_{\rm 5}$ include one or more positively charged atoms in the polar

substituent. U.S. Patent No. 5,321,130 to Yue, et al. teaches unsymmetrical cyanine dyes having an aminoalkyl chain containing a backbone of 3-42 carbons and 1-5 positively charged nitrogen atoms. The cationic tail described in U.S. Patent No. 5,321,130 exemplifies one of the positively charged R_6 substituents that may be used in combination with the cyclic cyanine dyes of the present invention and is incorporated herein by reference. In addition to the positively charged R_6 substituents described in U.S. Patent No. 5,321,130, R_{12} is also intended to include aminoalkyl chains including a positively charged cyclic aminoalkyl group having 1-5 positively charged nitrogen atoms.

10

5

Alternatively, $R_{\rm s}$ may form part of a linker between two cyclized fluorescent cyanine dyes as illustrated by General Formula IV

$$R_{10}$$
 R_{1} R_{2} R_{2} R_{3} R_{2} R_{3} R_{4} R_{5} R_{6} R_{7} R_{6} R_{7} R_{6} R_{7} R_{6} R_{7} R_{6}

15

According to this embodiment, Y, R_1 , R_2 , R_3 , R_4 , R_6 , R_7 , R_6 , R_9 and R_{10} are as specified above. It should be noted that the two linked cyanine dyes may be the same or different cyanine dyes. In general, it is preferred that the linked cyanine dyes be the same since different dyes will have different spectral properties.

20

 R_4 and R_7 may each independently be either H, C_{1-10} alkyl, or are taken together to form a 5 or 6 membered ring, most preferably a 5 or 6 membered aromatic ring, optionally substituted with C_{1-4} alkyl or C_1 - C_{10} alkoxy groups.

 R_4 and R_9 may each independently be either H, C_{1-10} alkyl, or are taken together to form a 5 or 6 membered ring, most preferably a 5 or 6 membered aromatic ring, optionally substituted with C_{1-6} alkyl or C_1 - C_{10} alkoxy groups.

25

in general, it is preferred either $R_{\rm s}$ and $R_{\rm r}$ or $R_{\rm s}$ and $R_{\rm s}$ are taken together to form a 5 or 6 membered aromatic ring, optionally substituted with

5

 C_{1-8} alkyl or C_1 - C_{10} alkoxy groups. The R_8 and R_7 or R_8 and R_9 groups that do not form the aromatic ring are preferably H.

R₁₀ may be either H, C₁₋₈ alkyl, C₁ - C₁₀ alkoxy or a fused benzene.

In a particularly preferred embodiment, the cyclized cyanine dye has the General Formula V where the ring formed by R_1 and R_2 includes a positively charged substituent R_{27} . As discussed herein, inclusion of a positively charged substituent, such as R_{27} , to a substituent on the positively charged nitrogen on the benzothiazole ring improves the net fluorescence enhancement of the dye with DNA.

10
$$R_{10}$$
 R_{10} R_{10}

 R_{27} is a positively charged alkyl substituent which may be attached to any atom used to form the 5, 6, 7 or 8 membered ring. R_{27} is more preferably a positively charged aminoalkyl substituent. For example, R_{12} can be an aminoalkyl chain containing a backbone of 3-42 carbons and 1-5 positively charged nitrogen atoms as described in U.S. Patent No. 5,321,130 to Yue, et al. which is incorporated herein by reference. In addition to the positively charged substituents described in U.S. Patent No. 5,321,130, R_{12} is also intended to include aminoalkyl chains including a positively charged cyclic aminoalkyl group having 1-5 positively charged nitrogen atoms.

In a preferred embodiment, R_{27} has the general formula $-R_{28}N(R_{29}R_{30}R_{31})$ where R_{28} is a $C_{1.5}$ alkyl and R_{29} , R_{30} , and R_{31} are each independently a $C_{1.10}$ alkyl.

Table 2 provides examples of some of the preferred cyclized cyanine dyes. It should be understood, however, that the dyes listed in Table 2 are intended only to exemplify the cyclized cyanine dyes of the present invention and are not intended to be limiting.

5

TABLE 2

- 12/1 -

TABLE 2 (cont.)

TABLE 2 (cont.)

TABLE 2 (cont.)

TABLE 2 (cont.)

The present invention also relates to fluorescent cyanine dyes having a positively charged substituent attached to the positively charged nitrogen on the benzothiazole portion of the cyanine dye. These fluorescent cyanine dyes are represented by General Formula VI

$$R_{21}$$
 R_{12}
 CR_{13}
 CR_{14}
 CR_{15}
 R_{19}
 R_{20}
 R_{19}
 R_{20}

where

5

10

15

20

n is 0, 1 or 2;

Y may be either S or O;

R₁₂ is a positively charged alkyl substituent, more preferably a positively charged aminoalkyl substituent;

 R_{13} , R_{14} and R_{15} may each independently be either hydrogen, C_1 - C_{10} alkyl, C_1 - C_{10} alkoxy, or C_1 - C_{10} alkylthio;

R₁₂ and R₁₃ may optionally be taken together to form a 5, 6, 7 or 8 membered ring;

 R_{16} may be a C_1 - C_{50} alkyl, preferably substituted with one or more polar substituents which preferably includes one or more positively charged atoms, or a cyclized fluorescent cyanine dye of the present invention, i.e., where R_{16} is a linker between two cyclized fluorescent cyanine dyes;

 $$\rm R_{17}$ and $\rm R_{18}$ may each independently be either H or $\rm C_{1-10}$ alkyl, or may be taken together to form a 5 or 6 membered ring, most

5

10

15

20

preferably a 5 or 6 membered aromatic ring, optionally substituted with C_{1-8} alkyl or C_1 - C_{10} alkoxy groups;

 R_{19} and R_{20} may each independently be either H or $C_{1.10}$ alkyl, or may be taken together to form a 5 or 6 membered ring, most preferably a 5 or 6 membered aromatic ring, optionally substituted with $C_{1.6}$ alkyl or C_1 - C_{10} alkoxy groups; and

R₂₁ may be either H, C₁₋₈ alkyl, C₁ - C₁₀ alkoxy or a fused benzene.

As used above, alkyl and alkoxy refer to any substituent having a carbon backbone having the specified range of carbon atoms. The carbon backbone may form a straight chain, may be branched or may be cyclic. The alkyl and alkoxy groups may be optionally substituted by a wide variety of substituents including, for example, alcohols, amines, thiols, phosphates, halides, ethers, esters, ketones, aldehydes, carboxylic acids, amides, cycloalkyls, and aromatic rings.

With regard to n, it is noted that n may equal 1. Accordingly, an embodiment of the present invention includes cyanine dyes having the General Formula VII (i.e. where n = 1)

$$R_{21}$$
 R_{12}
 R_{13}
 CR_{14}
 CR_{15}
 R_{19}
 R_{20}
 R_{19}
 R_{20}

where Y, R_{12} , R_{13} , R_{14} , R_{15} , R_{16} , R_{17} , R_{18} , R_{19} , R_{20} and R_{21} are as specified above.

With regard to dyes having General Formula VI or VII, Y may be either S or O and is most preferably S.

R₁₂ can be an aminoalkyl chain containing a backbone of 3-42 carbons and 1-5 positively charged nitrogen atoms as described in U.S. Patent No. 5,321,130 to Yue, et al. which is incorporated herein by reference. In addition to the positively charged substituents described in U.S. Patent No. 5,321,130, R₁₂ is also intended to include aminoalkyl chains including a positively charged cyclic aminoalkyl group having 1-5 positively charged nitrogen atoms.

5

10

15

20

In a preferred embodiment, R_{12} has the general formula $-R_{28}N(R_{29}R_{30}R_{31})$ where R_{28} is a $C_{1.5}$ alkyl and R_{29} , R_{30} , and R_{31} are each independently a C_{1-10} alkyl.

In an alternate preferred embodiment, R₁₂ and R₁₃ are taken together to form a 5, 6, 7 or 8 membered ring where the ring includes a positively charged alkyl substituent, more preferably an aminoalkyl chain containing a backbone of 3-42 carbons and 1-5 positively charged nitrogen atoms as described in U.S. Patent No. 5,321,130 to Yue, et al. Dyes of this embodiment may be generally represented by General Formula VIII

$$R_{21}$$
 R_{12}
 R_{13}
 R_{27}
 R_{13}
 R_{19}
 R_{20}
 R_{19}
 R_{20}

where R_{12} and R_{13} represents the atoms necessary to form a 5, 6, 7 or 8 membered ring and R_{27} is a positively charged substituent, as specified above with regard to R_{12} , which may be attached to any atom used to form the 5, 6, 7 or 8 membered ring as represented by R_{12} and R_{13} . In this regard, these dyes are equivalent to the dyes described above having the General Formula V.

 R_{14} and R_{15} may each independently be either hydrogen, C_1-C_{10} alkyl, C_1-C_{10} alkylthio, and are preferably H.

 R_{16} may be a $C_1\text{--}C_{50}$ alkyl. Since DNA and RNA to which the cyclized cyanine dyes bind contain negatively charged phosphate backbones, it is preferred that R_{16} be substituted with one or more polar substituents. It is most preferred that R_{16} include one or more positively charged atoms in the polar substituent, such as is specified with regard to R_{12} above.

The cyanine dyes according to General Formula VI, i.e., dyes where a positively charged substituent is positioned off the nitrogen of the benzothiazole portion of the dye, provide the advantage over previous cyanine dyes of exhibiting a significantly larger net fluorescence enhancement with DNA than cyanine dyes where a positively charged substituent is positioned at R₁₆ alone.

10

15

20

25

30

The use of intercalating dyes for staining cell nuclei requires that the dye itself be membrane-permeable or that a membrane permeabilizing step be incorporated into the sample preparation. Methods for enabling charged molecules and very large molecules into cells include the use of chemicals, such as digitonin, freeze-thaw cell lysis steps, or the use of non-ionic detergents such as TRITON X-100. For speed and simplicity, it is preferred to add approximately 9mM TRITON X-100.

The presence of a detergent solution (TRITON X-100) causes significant fluorescence enhancement of the dyes as compared to in PBS buffer. An increase in detergent-enhanced fluorescence (F_{TRITON}/F_{PBS}) has the effect of decreasing the net DNA enhanced fluorescence over detergent-enhanced background fluorescence (F_{DNA}/F_{TRITON}). The detergent-enhanced fluorescence is believed to increase with increasing hydrophobicity.

10

15

TABLE 3: Fluorescence Ratios of Dyes in Buffer,
TRITON X-100 and DNA Solutions

	TRITON X-100 and DIVA Solutions				
5	S	E	Triton/ PBS	Edna/ Epbs	EDNA/ ETRITON
	N+(CH ₃) ₃	6*	94	200	3
		5*	12	100	8
	N+(CH ₃	7*	10	70	7
	N+(CH3)3	8	1.8	70	40

* Compounds 5 and 7 are taught in U.S. Patent No. 5,321,130 to Yue, et al.

* Compound 6 is taught in U.S. Patent No. 4,957,870 to Lee, et al.

Fluorescence enhancement of the dyes upon binding to an excess of DNA was found to be fairly constant regardless of how the quinolinium ring side chain was modified (R₁₀). Advantageously, however, it was found that inclusion of a positively charged substituent off the positively charged nitrogen of the benzothlazole portion of the dye (General Formula VI) causes the dye to exhibit a significantly larger net DNA-enhancement than the positioning of a positively charged substituent at R₁₆ alone. As a result, smaller concentrations of nucleic acids can be detected using cyanine dyes having General Formula VI.

For example, Table 3 compares the fluorescence ratios of dyes in a saline buffer, a detergent (TRITON X-100) and in a DNA solution. Dye solutions (1.0 μ M) were prepared in phosphate buffered saline (PBS), in PBS with TRITON X-100 (9mM), and in PBS with double-stranded DNA (100 μ M).

5

Table 3 shows the effect of various side chains on the fluorescence background in TRITON X-100 (9mM). As illustrated in Table 3, the net DNA enhanced fluorescence over detergent-enhanced background fluorescence (F_{DNA}/F_{TRITON}) was found to be a factor of 5 greater in dye 8 than in dye 7. This result is unexpected since the net charge of 3+ is the same for both dyes 7 and 8. It appears that both the location and quantity of charges affect the fluorescence enhancement of the dyes.

10

The cyanine dyes according to General Formula VI preferably absorb light at a wavelength of at least about 640 nm, more preferably at least about 649 nm and emit fluorescence at a wavelength of at least about 650 nm, more preferably at least about 663 nm. The cyanine dyes also preferably have a positive Stoke's shift $(\lambda_{\rm Emission}^{-\lambda}Abs.)$ of at least 11 nm.

15

Table 4 provides examples of some of the preferred cyanine dyes having General Formula VI. It should be understood, however, that the dyes listed in Table 4 are intended only to exemplify the cyanine dyes of the present invention and are not intended to be limiting.

20

-20-

TABLE 4 (cont.)

- 20/1 -

SUBSTITUTE SHEET (RULE 26)

TABLE 4 (cont.)

- 21/1-

TABLE 4 (cont.)

The present invention also relates to the use of the cyanine dyes having General Formulas I, II, IV, V, VI, VII or VIII to form compositions for detecting the presence of nucleic acids in a sample. In general, the compositions include a cyanine dye according to the present invention non-covalently bound to a nucleic acid, i.e., DNA or RNA.

The fluorescence of the cyanine dyes of the present invention significantly increase when bound to a nucleic acid. As a result, it is possible to qualitatively or quantitatively determine the presence of nucleic acids in a sample by monitoring the change in the fluorescence intensity of the dye at a wavelength corresponding to the composition of the dye bound to the nucleic acids. Use of cyanine dyes in general for detecting the presence of nucleic acids in a sample is known in the art. A discussion regarding the use of cyanine dyes to detect the presence of nucleic acids in a sample is provided in U.S. Patent No. 5,321,130 to Yue, et al. which is incorporated herein by reference.

The present invention also relates to a method for detecting nucleic acids by contacting the nucleic acids with a cyanine dye of the present invention. According to the method, a sample of nucleic acids are contacted with a cyanine dye of the present invention in order to form the composition of a cyanine dye non-covalently bound to a nucleic acid sequence. After the dyenucleic acid sequence composition is formed, the bound dye is exposed to light having a wavelength near an absorbance maximum of the dye when bound to a nucleic acid sequence. The resulting fluorescence emission of the dye is then detected in order to qualitatively or quantitatively determine the presence of nucleic acids in the sample.

25

5

10

15

20

Example 1: Preparation of Compound 4

5

1a. Preparation of 2,3-Tetramethylenenaphth[2,1-d]thiazolium Bromide

2-Aminonaphthalene-1-thiol was prepared by the method of Ambrogi, et al. (Ambrogi, V.; Grandolini, G.; Perioli, L.; Rossi, C. *Synthesis*, 1992, 7, 656-8.) 2-Aminonaphthalene-1-thiol (0.14 g, 0.8 mmol) and bromovaleryl chloride (0.48 g, 2.4 mmol) were combined and heated to 100° for 1 h, then to 50°C overnight. The resulting solid was washed with acetone and air-dried to provide a white solid (0.16 g, 0.5 mmol, 60% yield).

1b. Preparation of IodoNAP6

4-(2"-Acetanilidovinyl)-1'-(3'-iodopropyl)-quinolinlum iodide (prepared by the general method of Brooker, et al. *J. Am. Chem. Soc.* 1941, 63, 3192-3203; 32 mg, 63 μmol), 2,3-tetramethylenenaphth[2,1-d]thiazolium bromide (20 mg, 63 μmol), triethylamine (40 μL) and ethanol (1 mL) were combined and refluxed for 20 min. The dark blue solid was recrystallized sequentially from (seprepanol and ethanol to provide a purple solid (12 mg, 30% yield). HPLC analysis on a C8 reverse-phase column using gradient elution of 40% to 80% acetonitrile vs. 0.1 M triethylammonium acetate buffer showed one major peak at 16 min.

1c. Preparation of Compound 4

5

10

15

$$\frac{1}{N} = \frac{1}{N(CH_3)_3}$$

$$\frac{N(CH_3)_3}{DMF}$$

lodoNAP6 (2 mg, 3 μ mol) was dissolved in dimethylformamide.

Trimethylamine was bubbled through the solution. The reaction was monitored by thin layer chromatography on silica gel with methanol as the eluant. The Rf values of lodoNAP6 and compound 4 were 0.5 and zero, respectively. After 30 min, reaction was complete. The solvent was evaporated and the residue partitioned between methylene chloride (CH_2Cl_2) and water. The aqueous layer was washed with 2 x 1 mL CH_2Cl_2 and concentrated to dryness. HPLC

analysis with the same gradient that was used with iodoNAP6 showed one broad peak at 7.2 min with no apparent starting material. The absorbance maximum of compound 4 in methanol was at 667 nm.

5

Example 2: Preparation of Compound 8

2a. Preparation of 1',1"-(3',3"Bisiodopropyl)-thia-4-carbocyanine lodide

1'3(e'-Iodopropyl)-2-(2"-acentanilidovinyl)30 benzothiazium iodide (15 mg, 26μmol), 1'-3(3'-iodopropyl)quinolinium iodide (15 mg, 34 μmol), triethylamine (50 μL)
and methanol (1 mL) were combined at room temperature. A
blue precipitate formed immediately. The reaction mixture
was centrifuged and the residue washed with methanol and
isopropanol and air-dried to provide a dark solid (15 mg,
20μmol, 77% yield).

2b. Preparation of Compound 8

1', 1"-(3', 3'-Bisiodopropyl)-thia-4-

carbocyanine iodide (15 mg, 20 μ mol) was dissolved in DMF and trimethylamine bubbled through the solution. The reaction progress was monitored by TLC on reverse-phase plates with 1:1 dimethylformamide:4 M NaCl as eluant. The Rf's of the bisiodo starting material and the bisammonium salt were 0 and 0.8, respectively. The intermediate monoammonium salts could also be resolved, at Rf's of 0.7 and 0.6. After 30 min the reaction was complete. The solvent was evaporated. The absorbance maximum of compound 8 in DMSO was at 639 nm.

While the present invention is disclosed by reference to the preferred embodiments and examples detailed above, it is to be understood that these examples are intended in an illustrative rather than limiting sense, as it is contemplated that modifications will readily occur to those skilled in the art, which modifications will be within the spirit of the invention and the scope of the appended claims.

What is claimed is:

1 1. A composition comprising: a cyclized fluorescent cyanine dye 2 noncovalently bound to a nucleic acid polymer, the cyclized cyanine dye 3 having the general formula

$$R_{10}$$
 R_{10}
 R

5 where: 6 n is 0, 1 or 2; 7 Y is selected from the group consisting of S or O; 8 R₁ and R₂ are taken together to form a 5, 6, 7 or 8 membered 9 ring; 10 R₃ and R₄ are each independently selected from the group 11 consisting of hydrogen, C₁ - C₁₀ alkyl, C₁ - C₁₀ alkoxy and C₁ - C₁₀ alkylthio; 12 R₅ is a C₁ - C₅₀ alkyl; 13 R_{α} and R_{γ} are each independently selected from the group 14 consisting of H, and C₁₋₁₀ alkyl, or where R₆ and R₇ together to form a 5 or 6 15 membered ring; 16 R₈ and R₉ are each independently selected from the group 17 consisting of H and C $_{\mbox{\tiny 1-10}}$ alkyl, or where $R_{\mbox{\tiny 8}}$ and $R_{\mbox{\tiny 9}}$ together to form a 5 or 6 18 membered ring; and 19 R₁₀ is selected from the group consisting of H, C₁₋₆ alkyl, and a 20 fused benzene.

•		The composition according to dain 1 wherein dye includes a					
2	positively charged substituent attached to one of the atoms represented by F						
3	and R ₂ form	ning the 5, 6, 7 or 8 membered ring.					
1	3.	The composition according to claim 2 wherein the positively					
2	charged sub	ostituent includes an aminoalkyl group.					
1	4.	The composition according to claim 3 wherein the aminoalkyl					
2	group includ	group includes a positively charged cyclic aminoalkyl group having a 1-5					
3	positively ch	narged nitrogen atoms.					
1	5 .	The composition according to claim 3 wherein the aminoalkyl					
2	group has th	ne general formula - $R_{28}N(R_{29}R_{30}R_{31})$ where R_{28} is a $C_{1.5}$ alkyl and					
3	R ₂₉ , R ₃₀ and	R ₃₁ are each independently a C ₁₋₁₀ alkyl.					
1.	6.	The composition of claim 1 wherein R, and R ₂ are taken togethe					
2	to form a 7	or 8 membered ring.					
1	7.	The composition of claim 1 wherein R _s is substituted by at least					
2	one polar su	ubstituent.					
1	8.	The composition of claim 7 wherein R _s is substituted by at least					
2	one positive	ly charged atom.					
1	9.	The composition of claim 8 wherein R _s is an aminoalkyl chain					
2	containing a	backbone of two to about 42 carbons and 1-5 positively charged					
3	nitrogens in	termittently or equally spaced within the backbone, such that there					
4	are at least	two carbons between sequential nitrogens.					
1	10.	The composition of claim 9 wherein R _s forms a 6-33 membered					
2	ring.						

1 11. The composition of claim 10 wherein R₆ is a positively charged cyclic aminoalkyl group having 1-5 positively charged nitrogen atoms.

- 12. The composition of claim 1 wherein n = 1.
- 1 13. The composition of claim 1 wherein Y is S.
- 1 14. The composition of claim 1 wherein R_e and R₇ or R_e and R₉ are each H.
- 1 15. The composition of claim 14 wherein R₆ and R₇ or R₆ and R₉ are taken together to form a 5 or 6 membered ring.
- 1 16. The composition of claim 15 wherein the ring formed by R₆ and 2 R₇ or R₆ and R₆ is a 6 membered aromatic ring.
 - 17. The composition of claim 1 wherein R₁₀ is a fused benzene.
- 1 18. A composition comprising: a cyclized fluorescent cyanine dye 2 noncovalently bound to a nucleic acid polymer, the cyclized cyanine dye 3 having the general formula

$$R_{10}$$
 R_{1}
 R_{2}
 R_{8}
 R_{9}
 R_{7}
 R_{6}
 R_{7}
 R_{9}
 R_{8}
 R_{9}
 R_{7}
 R_{6}
 R_{7}
 R_{8}
 R_{9}
 R_{7}
 R_{6}

3 where:

1

1

4 n is 0, 1 or 2;

5	Y is selected from the group consisting of S or O;				
6	R ₁ and R ₂ are taken together to form a 5, 6, 7 or 8 membered ring;				
7	R ₃ and R ₄ are each independently selected from the group consisting of				
8	hydrogen, C ₁ - C ₁₀ alkyl, C ₁ - C ₁₀ alkoxy and C ₁ - C ₁₀ alkylthio;				
9	R _s is a C ₁ - C ₅₀ alkyl;				
10	R_{e} and R_{7} are each independently selected from the group consisting of				
11	H, and C ₁₋₁₀ alkyl, or where R ₆ and R ₇ together to form a 5 or 6 membered ring;				
12	and .				
13	R ₁₀ is selected from the group consisting of H, C ₁₋₀ alkyl, C ₁ - C ₁₀ alkoxy				
14	and a fused benzene.				
1	19. The composition according to claim 18 wherein dye includes a				
2	positively charged substituent attached to one of the atoms represented by R ₁				
3	and R ₂ forming th 5, 6, 7 or 8 membered ring.				
1	20. The composition of claim 18 wherein R₁ and R₂ are taken				
2	together to form a 7 or 8 membered ring.				
	·				
1	21. The composition of claim 18 wherein R ₅ is substituted by at least				
2	one polar substituent.				
1	22. The composition of claim 21wherein R ₅ is substituted by at least				
2	one positively charged atom.				
1	23. The composition of claim 22wherein R _s is an aminoalkyl chain				
2	containing a backbone of two to about 42 carbons and 1-5 positively charged				
3	nitrogens intermittently or equally spaced within the backbone, such that there				
4	are at least two carbons between sequential nitrogens.				
1	The composition of claim 18 wherein				
2	n = 1.				

1 25. The composition of claim 18 wherein Y is S.

- 1 26. The composition of claim 18 wherein $R_{\rm e}$ and $R_{\rm 7}$ or $R_{\rm 8}$ and $R_{\rm 9}$ are each H.
- 1 27. The composition of claim²⁶ wherein R₆ and R₇ or R₈ and R₉ are taken together to form a 5 or 6 membered ring.
- 1 28. The composition of claim ²⁷wherein the ring formed by R_e and 2 R₇ or R₈ and R₉ is a 6 membered aromatic ring.
 - 29. The composition of claim 18 wherein R₁₀ is a fused benzene.
- 1 30. A method for detecting a nucleic acid polymer comprising:
 2 contacting a nucleic acid sequence with a cyclized fluorescent cyanine
 3 dye to form a noncovalently bound dye-nucleic acid composition, the cyclized
 4 fluorescent cyanine dye having the general formula

$$R_{10}$$
 R_{10}
 R

5 where

1

6 n is 0, 1 or 2;

7 Y is selected from the group consisting of S or O;

PATENT

8	R_1 and R_2 are taken together to form a 5, 6, 7 or 8 membered
9	ring;
10	R ₃ and R ₄ are each independently selected from the group
11	consisting of hydrogen, C_1 - C_{10} alkyl, C_1 - C_{10} alkoxy and C_1 - C_{10} alkylthio;
12	R _s is a C ₁ - C _{so} alkyl;
13	R _s and R ₇ are each independently selected from the group
14	consisting of H and C ₁₋₁₀ alkyl, or where R _e and R ₇ together to form a 5 or 6
15	membered ring;
16	Re and Re are each independently selected from the group
17	consisting of H and C ₁₋₁₀ alkyl, or where R _e and R _e together to form a 5 or 6
8	membered ring; and
9	R ₁₀ is selected from the group consisting of H, C ₁₋₄ alkyl, C ₁ - C ₁₀
20	alkoxy and a fused benzene;
21	exposing the cyclized fluorescent cyanine dye bound to the nucleic acid
22	polymer to light, the cyclized fluorescent cyanine dye absorbing the light and
23	producing a fluorescence emission; and
24	detecting the fluorescence emission.
1	31. The method according to claim 30 wherein dye includes a
2	positively charged substituent attached to one of the atoms represented by R,
3 .	and R ₂ forming the 5, 6, 7 or 8 membered ring.
1	32. The method according to claim 31wherein dye includes a
2	positively charged substituent attached to one of the atoms represented by R,
3	and R_2 forming the 5, 6, 7 or 8 membered ring.
1	33- The method according to claim 32wherein dye includes a
2	positively charged substituent attached to one of the atoms represented by R ₁
3	and R₂ forming the 5, 6, 7 or 8 membered ring.

1	34.	The method according to claim 33 wherein dye includes a				
2	positively charged substituent attached to one of the atoms represented by R ₁					
3	and R ₂ forming the 5, 6, 7 or 8 membered ring.					
1	35.	The method of claim 30 wherein R ₁ and R ₂ are taken together to				
2	form a 7 or	8 membered ring.				
1	36.	The method of claim 30 wherein n = 1.				
1	37.	The method of claim 30 wherein Y is S.				
1	38.	The method of claim 30 wherein R_a and R_7 or R_a and R_9 are each				
2	H.					
1	39.	The method of claim 38 wherein R_s and R_7 or R_8 and R_9 are taken				
2	together to	form a 5 or 6 membered ring.				
1	40.	The method of claim 38 wherein the ring formed by $R_{\rm s}$ and $R_{\rm 7}$ or				
2	R _a and R _a is a 6 membered aromatic ring.					

PATENT

41. A method for detecting a nucleic acid polymer comprising:

contacting a nucleic acid polymer with a cyclized fluorescent cyanine dye

to form a noncovalently bound dye-nucleic acid polymer composition, the

cyclized fluorescent cyanine dye having the general formula

$$R_{10}$$
 R_{1} R_{2} R_{2} R_{3} R_{2} R_{3} R_{9} R_{7} R_{6} R_{6} R_{7} R_{9} R_{8} R_{9} R_{7} R_{6} R_{10}

where

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

n is 0, 1 or 2,

Y is selected from the group consisting of S or O;

R₁ and R₂ are taken together to form a 5, 6, 7 or 8 membered ring.

 R_3 and R_4 are each independently selected from the group consisting of hydrogen, C_1 - C_{10} alkyl, C_1 - C_{10} alkoxy and C_1 - C_{10} alkylthio,

Rs is a C, - Cso alkyl,

 R_s and R_7 are each independently selected from the group consisting of H and C_{t-10} alkyl, or where R_s and R_7 together to form a 5 or 6 membered ring.

 $R_{\rm e}$ and $R_{\rm e}$ are each independently selected from the group consisting of H and $C_{\rm 1-10}$ alkyl, or where $R_{\rm e}$ and $R_{\rm e}$ together to form a 5 or 6 membered ring and

 R_{10} is selected from the group consisting of H, C_{1-8} alkyl, C_1 - C_{10} alkoxy and a fused benzene;

exposing the cyclized fluorescent cyanine dye bound to the nucleic acid polymer to light, the cyclized fluorescent cyanine dye absorbing the light and producing a fluorescence emission; and

detecting the fluorescence emission.

INTERNATIONAL SEARCH REPORT

International application No. PCT/US96/17943

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) :C12Q 1/68					
US CL According	:435/6 to International Patent Classification (IPC) or to both	national classification and IPC			
	LDS SEARCHED				
	ocumentation searched (classification system follower	d by classification symbols)	· · · · · · · · · · · · · · · · · · ·		
l	435/6,7.1,91.1,91.2,810; 536/22.1,23.1,24.1,24.3,2				
Documenta	tion searched other than minimum documentation to th	e extent that such documents are included	in the fields searched		
Electronic o	ists base consulted during the international search (n	ame of data base and, where practicable	, search terms used)		
Dialog s	search covering CAS, Biotech abs., Medline, WP ch words: cyanine, dye?, hybridization, and hyb	1			
C. DOC	CUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.		
A	Nucleic Acids Research, Volume 2: Rye et al., "Interaction of dimer single-stranded DNA", pages 121: abstract.	ic intercalating dyes with	1-41		
A	Nucleic Acids Research, Volume 20, Number 11, issued 1992, Rye et al., "Stable fluorescent complexes of double-stranded DNA with bis-intercalating asymmetric cyanine dyes: properties and applications", pages 2803-2812, see especially the abstract.				
A	US, A, 4,875,762 (KATO ET AL.) 24 October 1989, see 1-41 entire document.				
A	US, A, 4,622,391 (LORENZ ET AL.) 11 November 1986, see entire document.				
Furth	er documents are listed in the continuation of Box C	. See patent family annex.			
'A' do	ecial categories of cited documents:	"T" later document published after the inte date and not in conflict with the applior principle or theory underlying the inve	stion but cited to understand the		
"E" cartier document published on or after the international filing date "L" document which may throw doubts on priority cham(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone. "Y" document of particular relevance; the claimed invention cannot be					
	Occasidered to involve as investive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art				
	'P' document published prior to the international filing date but later then "A" document member of the same patent family the priority date claimed				
Date of the actual completion of the international search 22 DECEMBER 1996 Date of mailing of the international search 2 8 JAN 1997					
Commission Box PCT	Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Authorized officer ARDIN MARSCHEL				
	acsimile No. (703) 305-3230 Telephone No. (703) 308-0196				